AD-A142 644 STABILIZATION STUDIES(U) CALIFORNIA UNIV LOS ANGELES SCHOOL OF ENGINEERING AND APPLIED SCIENCE N LEVAN MAR 84 AFOSR-TR-84-0504 AFOSR-79-0053 1/1

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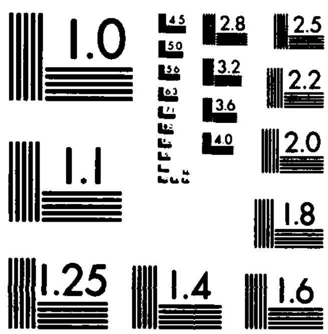

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REPORT DOCUMENTATION PAGE

1a. REPORT SECURITY CLASSIFICATION: UNCLASSIFIED	1b. RESTRICTIVE MARKINGS
2a. SECURITY CLASSIFICATION AUTHORITY	3. DISTRIBUTION/AVAILABILITY OF REPORT: Approved for public release; distribution unlimited.
2b. DECLASSIFICATION/DOWNGRADING SCHEDULE	
4. PERFORMING ORGANIZATION REPORT NUMBER(S)	5. MONITORING ORGANIZATION REPORT NUMBER(S): AFOSR-TR- 84-0504
6a. NAME OF PERFORMING ORGANIZATION: University of California; 6b. OFFICE SYMBOL (If applicable)	7a. NAME OF MONITORING ORGANIZATION: Air Force Office of Scientific Research
6c. ADDRESS (City, State and ZIP Code): School of Engineering & Applied Science, Los Angeles CA 90024	7b. ADDRESS (City, State and ZIP Code): Directorate of Mathematical & Information Sciences, Bolling AFB DC 20332
8a. NAME OF FUNDING/SPONSORING ORGANIZATION: AFOSR; 8b. OFFICE SYMBOL (If applicable): NM	9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER: AFOSR-79-0053
8c. ADDRESS (City, State and ZIP Code): Bolling AFB DC 20332	10. SOURCE OF FUNDING NOS.: PROGRAM ELEMENT NO. 61102F; PROJECT NO. 2304; TASK NO. A6; WORK UNIT NO.
11. TITLE (Include Security Classification): STABILIZATION STUDIES	
12. PERSONAL AUTHOR(S): N. Levan	
13a. TYPE OF REPORT: Final; 13b. TIME COVERED: FROM 1/2/83 TO 31/1/84	14. DATE OF REPORT (Yr., Mo., Day): MAR 84; 15. PAGE COUNT: 6
16. SUPPLEMENTARY NOTATION	

17. COSATI CODES			18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)
FIELD	GROUP	SUB. GR.	

19. ABSTRACT (Continue on reverse if necessary and identify by block number)

During this period four papers were written including the titles, "Stabilization of infinite dimensional systems and the steady state Riccati equation," "Stability of an exponentially stabilizable system," and "Strong stability of quasi-affine transforms of contraction semigroups." Further information on these papers and the research topics involved are contained in the report.

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20. DISTRIBUTION/AVAILABILITY OF ABSTRACT: UNCLASSIFIED/UNLIMITED ☒ SAME AS RPT ☐ DTIC USERS ☐	21. ABSTRACT SECURITY CLASSIFICATION: UNCLASSIFIED
22a. NAME OF RESPONSIBLE INDIVIDUAL: CPT Brian W. Woodruff	22b. TELEPHONE NUMBER (Include Area Code): (202) 767- 5027; 22c. OFFICE SYMBOL: NM

DD FORM 1473, 83 APR — EDITION OF 1 JAN 73 IS OBSOLETE.

84 06 28 093

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SECURITY CLASSIFICATION OF THIS PAGE

AFOSR-TR- 84-0504

FINAL TECHNICAL REPORT

STABILIZATION STUDIES

(Grant No. AFOSR 79-0053)

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SCHOOL OF ENGINEERING AND APPLIED SCIENCE

March 1984

84 06 28 073

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Chief, Technical Information Division

1. INTRODUCTION

This report describes results obtained during the final year of the Grant: February 1, 1983 through January 31, 1984.

2. RESULTS

In [1] stability and state feedback stabilizability of linear distributed parameter systems are studied, using the theory of Hilbert space semigroups of operators. The notion of a "P-contraction" semigroup is introduced. This turns out to be the key tool of this work. A P-contraction semigroup is related to a contraction one via a similarity, or a quasi-affine transformation --depending on whether the operator P is "strictly" positive or positive. This leads to a canonical decomposition for this class of semigroups. Moreover, sufficient conditions for "approximate" stability for the semigroup are obtained by means of this canonical decomposition.

We must note that a main feature of our work is that the operator P can be obtained from the "operator" steady state Riccati equation associated with the infinite time Linear Quadratic Regulator control problem in Hilbert space.

The notion of "approximate" stability has not been considered before. Here we are able to show that as soon as a P-contraction semigroup is uniformly bounded then, if it is "approximately" stable, it is actually stable --i.e., stable over the whole space. Applying these results to the wave equation with a bounded domain, we can show that the system can be strongly stabilized by a state feedback which involves a positve solution of the steady state Riccati equation. Moreover, this stabilization is carried out by means of an energy norm.

In [2] we studies the following problem: "under what conditions will a C_o semigroup $T(t)$, $t \geq 0$, with generator A, be exponentially stable, given that the semigroup $S(t)$, $t \geq 0$, (say) generated by $A - K$ --where K is a bounded linear operator, is exponentially stable?" This problem results from the fact that if a system is strongly stable then it is not possible to exponentially stabilized it by means of a compact feedback. Here we basically find coditions under which it is not possible to exponentially stabilized a distributed parameter system by means of a state feedback. Our results are obtained, first for a general operator K, then for the case in which K is generated from a steady state Riccati equation. An interesting consequence is that, if a semigroup $T(t)$, $t \geq 0$, is not exponentially stable, and it is exponentially stabilized by the feedback $-B^*P$ --where $P \geq 0$ satisfied a steady state Riccati equation -- then there must exist an initial state $x(0)$ for which the control $u(t) = -B^*PT(t)x(0)$, $t \geq 0$, is not square integrable. Moreover, if the semigroup $T(t)$, $t \geq 0$, is contractive and strongly stable, and the operator B is compact, then the steady state Riccati equation does not admit any non-negative solution.

Finally in [3] we concentrates on strong stability of the class of "quasi-affine" transforms of contraction semigroups. Sufficient conditions for these semigroups to be strongly stable on the domain of the generators are found. Our key tool of analysis is the generalization of the LaSalle Invariance Principle to nonfinite dimensional systems. Here we illustrate a case in which the limit set of the Invariance Principle can actually be characterized. The main result of this work is that, in general, approximate controllability implies approximate strong stabilizability --as far as stabilizability via the steady state Riccati equation is concerned.

3. ITEMS SUPPORTED BY THE GRANT (February 1, 1983 - January 31, 1984)

[1] DONG-JO PARK, Stabilizability of Infinite Dimensional Systems and The Steady State Riccati Equation, Ph.D Dissertation, University of California in Los Angeles, School of Engineering and Applied Science, March 1984.

[2] N. LEVAN, Stability of An Exponentially Stabilizable System, IEEE Trans. Automatic Control, (To Appear).

[3] N. LEVAN, Strong Stability of Quasi-Affine Transforms of Contraction Semigroups and The Steady State Riccati Equation, Journal of Optimization Theory & Applications, (To Appear).

4. LIST OF ALL ITEMS SUPPORTED BY THE GRANT (February 1, 1979 - January 31, 1984)

N. LEVAN:

1.-On S-Stability of Hilbert Space Contraction Semigroups, Proc. Inter. Symp. Math. Theory of Networks & Systems, Delft Uni., July 3 - 6, 1979, 405-411.

2.-On the Reduction of a Contraction Semigroup to a Completely Non-Selfadjoint Nonunitary One, Journal Numer. Functional Analysis & Optimization, 1 (6), 1979, 619-631.

3.-Controllability, *-Controllability and Stabilizability, Journal of Differential Equations, 38 (1), 1980, 61-79.

4.-On Some Relationships Between The LaSalle Invariance Principle and The Nagy-Foias Canonical Decomposition, J. Math. Anal. & Appls, 77 (2), 1980, 493-504.

5.-A Note On Uniformly Bounded Hilbert Space Semigroups, J. Math. Anal. & Appls., 77 (2), 1980, 344-350.

6.-Stability and Stabilizability of Nonfinite Dimensional Systems, Proc. 1980 IEEE Inter. Symp. on Circuits & Systems, Vol.1, 290-293.

7.-Passivity, Dissipativity, and Stabilizability, UCLA School of Engr. & Applied Science Technical Report No. UCLA-ENG 82-07, Nov. 1980.

8.-Feedback Stabilization and Perturbation of C_o Semigroups, Proc. 24th Midwest Symp. On Circuits & Systems, 1981, 583-587.

9.-Stabilizability of Hilbert Space Contraction Semigroups: A Scattering Theory Approach, Proc. 3rd IFAC Symp. On Control of Distributed Parameter Systems, Toulouse, France, June 29-July 2, 1982, VI-1 to VI-6.

10. -Canonical Decompositions Of Completely Nonunitary Contractions, J. Math. Anal. & Appls., 1984, (To Appear).

11. -On A Class Of C_o Semigroups In Control Theory, Special Issue in Contemporary Mathematics Series of the AMS entitled "Systems Theory", (To Appear).

12. -Approximate Stabilizability Via The Algebraic Riccati Equation, SIAM J. Contr. & Optimization, (To Appear).

13. -Stability of An Exponentially Stabilizable System, IEEE Trans. Automatic Control, (To Appear).

14. -Strong Stability of Quasi-Affine Transforms of Contraction Semigroups and The Steady State Riccati Equation, J. Optimization Theory & Appls., (To Appear).

T. NAMBU:

1. -Feedback Stabilization For Distributed Parameter Systems of Parabolic Type, III, Rendiconti Del Circolo Matematico Di Palermo, Serie II, 31 (1982), 41-67.

2. -Feedback Stabilization of Diffusion Equations by a Functional Observer, J. of Differential Equations, 43 (2), 1982, 257 - 280.

3. -Feedback Stabilization for Distributed Parameter Systems of Parabolic Type, II, Archive for Rational Mechanics & Analysis, 79 (3), 1982, 241-259.

4. -On The Stabilization of Diffusion Equations: Boundary Observer and Feedback, J. Of Differential Equations, (To Appear).

DONG-JO PARK:

1. -Stabilizability of Infinite Dimensional Systems and the Steady State Riccati Equation, Ph. D Dissertation, UCLA, March 1984.

A.P. ROSS:

1. -The Stability and Stabilizability of Infinite Dimensional Linear Systems Via Lyapunov's Direct Method, Ph.D Dissertation, UCLA, September 1979.

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